

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 245102US2SX DIV

First Inventor or Application Identifier Hiroyuki KANAYA

Title SEMICONDUCTOR DEVICE HAVING FERROELECTRIC FILM AND  
MANUFACTURING METHOD THEREOF

PTO  
S.970  
U.S.

10706

03945

111403

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification Total Sheets 34
3.  Drawing(s) (35 U.S.C. 113) Total Sheets 6
4.  Oath or Declaration Total Pages 2
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 17 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification or Sequence Listing on :
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, Virginia 22313

**ACCOMPANYING APPLICATION PARTS**

Assignment Papers were recorded at Reel 013041/Frame 0506 and Reel 13600/Frame 0514 to correct information

7.  Application Data Sheet. See 37 CFR 1.76 (2 pgs.)
9.  37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  White Advance Serial No. Postcard
14.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
15.  Applicant claims small entity status.  
See 37 CFR 1.27
16.  Other: REQUEST FOR PRIORITY

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.: 10/178,744

Prior application information: Examiner: J. MONDT

Group Art Unit: 2826

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

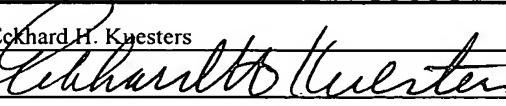
**18. CORRESPONDENCE ADDRESS**

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Name:		Registration No.:	



Docket No. 245102US2SX DIV

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) HIROYUKI KANAYA ET AL  
SERIAL NO: NEW DIVISIONAL APPLICATION  
FILING DATE: Herewith  
FOR: SEMICONDUCTOR DEVICE HAVING FERROELECTRIC FILM AND MANUFACTURING METHOD THEREOF

**FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	3 - 20 =	0	x \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	x \$86 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$290 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$770.00
			TOTAL OF ABOVE CALCULATIONS	\$770.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$770.00

Please charge Deposit Account No. 15-0030 in the amount of **\$0.00** A duplicate copy of this sheet is enclosed.  
 A check in the amount of **\$0.00** to cover the filing fee is enclosed.  
 Credit card payment form is attached to cover the filing fee in the amount of **\$770.00**  
 The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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Date: 11-14-03

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